

06-21-05

C9C

PTO/SB/21 (04-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>PTO</b> <b>TRANSMITTAL</b> <b>FORM</b> JUN 20 2005 (to be used for all correspondence after initial filing)	Application Number	09/870,138
	Filing Date	May 30, 2001
	First Named Inventor	Makoto Kai et al.
	Art Unit	2879
	Examiner Name	Ashok Patel
Total Number of Pages in This Submission	Attorney Docket Number	5077-000051

ENCLOSURES (check all that apply)				
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) ____	<input type="checkbox"/> After Allowance Communication to Technology Center (TC)  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  <b>return postcard; Letter to Director of Office of Patents &amp; Trademarks (in duplicate); and original and one copy of Certificate of Correction;</b>		
<table border="1"> <tr> <td>Remarks</td> <td>           The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.         </td> </tr> </table>			Remarks	The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.
Remarks	The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.			

**Certified**  
**JUN 24 2005**  
**of Correction**

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual name	Harness, Dickey & Pierce, P.L.C.	Attorney Name	Reg. No.
		Gregory A. Stobbs	28,764
Signature	<i>Greg Stobbs</i>		
Date	June 20, 2005		

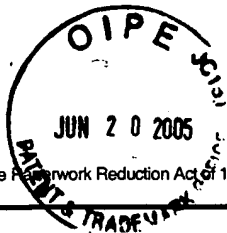
CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Gregory A. Stobbs	Express Mail Label No.	EV 570 164 557 US (6/20/2005)
Signature	<i>Greg Stobbs</i>	Date	June 20, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

EV 570 164 557 US

JUN 27 2005



<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	09/870,138
	Filing Date	May 30, 2001
	First Named Inventor	Makoto Kai et al.
	Art Unit	2879
	Examiner Name	Ashok Patel
Total Number of Pages in This Submission	Attorney Docket Number	5077-000051

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Technology Center (TC)  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  return postcard; Letter to Director of Office of Patents & Trademarks (in duplicate); and original and one copy of Certificate of Correction;
Remarks		The Commissioner is hereby authorized to charge any additional fees that may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual name	Harness, Dickey & Pierce, P.L.C.	Attorney Name Gregory A. Stobbs	Reg. No. 28,764
Signature			
Date	June 20, 2005		

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Gregory A. Stobbs	Express Mail Label No.	EV 570 164 557 US (6/20/2005)
Signature		Date	June 20, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JUN 27 2005

# HARNES DICKY



Gregory A. Stobbs  
Direct Dial: (248) 641-1600  
stobbs@hdp.com

June 20, 2005

Director of the Office of Patents and Trademarks  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: U.S. Patent No. 6,849,993  
Issued: February 1, 2005  
Inventors: Makoto Kai et al.  
For: Discharge Lamp and Lamp Unit with Caulking Member  
Attorney Docket No. 5077-000051

Dear Sir/Madam:

We have reviewed the above-referenced patent and found the following errors which appear to require a Certificate of Correction:

Column 12, line 24, Claim 1:

After "member" first occurrence delete --,--

Column 12, line 24, Claim 1:

After "member" first occurrence insert --by applying stress from the outside of the caulking member,--

Enclosed herewith is an original and one copy of the Patent and Trademark Office Certificate of Correction Form 1050 which we request be approved for the above-referenced patent.

The Commissioner is hereby authorized to charge any additional fees which may be required to Account No. 08-0750. A duplicate copy of this letter is enclosed.

Respectfully submitted,

Gregory A. Stobbs  
Reg. No. 28,764

GAS/kk  
Enclosures

FOR COURIER DELIVERY ONLY  
Harness, Dickey & Pierce, P.L.C.  
Attorneys and Counselors  
5445 Corporate Drive  
Troy, Michigan 48098  
248.641.1600

P.O. Box 828  
Bloomfield Hills, MI 48303 U.S.A.

CABLE  
Patents Troy, Michigan  
Telex No. & Reply  
287637 Harness UR  
Telefacsimile 248.641.0270

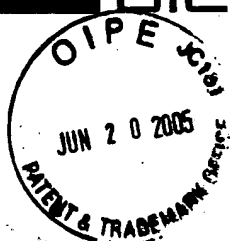
Metropolitan  
Detroit, MI St. Louis, MO Washington, D.C.

[www.hdp.com](http://www.hdp.com)

JUN 27 2005

# **HARNES DICKY**

**Gregory A. Stobbs**  
Direct Dial: (248) 641-1600  
stobbs@hdp.com



June 20, 2005

Director of the Office of Patents and Trademarks  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: U.S. Patent No. 6,849,993  
Issued: February 1, 2005  
Inventors: Makoto Kai et al.  
For: Discharge Lamp and Lamp Unit with Caulking Member  
Attorney Docket No. 5077-000051

Dear Sir/Madam:

We have reviewed the above-referenced patent and found the following errors which appear to require a Certificate of Correction:

Column 12, line 24, Claim 1:

After "member" first occurrence delete --,--

Column 12, line 24, Claim 1:

After "member" first occurrence insert --by applying stress from the outside of the caulking member,--

Enclosed herewith is an original and one copy of the Patent and Trademark Office Certificate of Correction Form 1050 which we request be approved for the above-referenced patent.

The Commissioner is hereby authorized to charge any additional fees which may be required to Account No. 08-0750. A duplicate copy of this letter is enclosed.

Respectfully submitted,

Gregory A. Stobbs  
Reg. No. 28,764

GAS/kk  
Enclosures

FOR COURIER DELIVERY ONLY  
Harness, Dickey & Pierce, P.L.C.  
Attorneys and Counselors  
5445 Corporate Drive  
Troy, Michigan 48098  
248.641.1600

P.O. Box 828  
Bloomfield Hills, MI 48303 U.S.A.

CABLE  
Patents Troy, Michigan  
Telex No. & Reply  
287637 Harness UR  
Telefacsimile 248.641.0270

Metropolitan  
Detroit, MI St. Louis, MO Washington, D.C.

[www.hdp.com](http://www.hdp.com)

JUN 27 2005

## UNITED STATES PATENT AND TRADEMARK OFFICE

### CERTIFICATE OF CORRECTION

PATENT NO : 6,849,993

DATED : February 1, 2005

INVENTOR(S) : Makoto Kai et al.

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

Column 12, line 24, Claim 1:  
after "member" first occurrence delete --,--

Column 12, line 24, Claim 1:  
after "member" first occurrence insert --by applying stress from the outside of the caulking member,--

MAILING ADDRESS OF SENDER: Gregory A. Stobbs  
Harness, Dickey & Pierce, P.L.C.  
5445 Corporate Drive, Suite 400, Troy, MI 48098

PATENT NO. 6,849,993

No. of additional copies

 10

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JUN 7 2005

## UNITED STATES PATENT AND TRADEMARK OFFICE

### CERTIFICATE OF CORRECTION

PATENT NO : 6,849,993

DATED : February 1, 2005

INVENTOR(S) : Makoto Kai et al.

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

Column 12, line 24, Claim 1:  
after "member" first occurrence delete --,--

Column 12, line 24, Claim 1:  
after "member" first occurrence insert --by applying stress from the outside of the caulking member,--

MAILING ADDRESS OF SENDER: Gregory A. Stobbs  
Harness, Dickey & Pierce, P.L.C.  
5445 Corporate Drive, Suite 400, Troy, MI 48098

PATENT NO. 6,849,993

No. of additional copies

 10

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

JUN 27 2005